

1 Review

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# The role of adaptogens in prophylaxis and treatment 3 of viral infections.

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12 **Abstract:** The aim of our review is to demonstrate the potential of herbal preparations,  
13 specifically adaptogens for prevention and treatment of infections respiratory diseases, as well as  
14 convalescence, specifically through supporting a challenged immune system, increasing resistance  
15 to viral infection, inhibiting severe inflammatory progression, and driving effective recovery. The  
16 evidence from pre-clinical and clinical studies with *Andrographis paniculata*, *Eleutherococcus senticosus*,  
17 *Glycyrrhiza spp.*, *Panax spp.*, *Rhodiola rosea*, *Schisandra chinensis*, *Withania somnifera*, their combination  
18 products and melatonin suggests that adaptogens can be useful in prophylaxis and treatment of  
19 viral infections at all stages of progression of inflammation as well as in aiding recovery of the  
20 organism by (i) modulating innate and adaptive immunity, (ii) anti-inflammatory activity, (iii)  
21 detoxification and repair of oxidative stress-induced damage in compromised cells, (iv) direct  
22 antiviral effects of inhibiting viral docking or replication, and (v) improving quality of life during  
23 convalescence.24 **Keywords:** adaptogens; *Andrographis*; *Eleutherococcus*; *Glycyrrhiza*; *Panax*; *Rhodiola*;  
25 *Schisandra*; *Withania*; melatonin; viral infection.

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## 1. Introduction

28 The Covid-19 pandemic brought new challenges to biomedical sciences, specifically, the  
29 development of effective therapeutics for prevention and treatment of acute viral and stress-induced  
30 diseases. Unfortunately, the potential of herbal preparations in prevention and treatment of viral  
31 infections is underestimated. Lack of solid evidence for efficacy and safety from randomized,  
32 controlled clinical studies is often cited as a reason for dismissal. In reality, the risk of adverse  
33 events is significantly higher for synthetic antiviral and immunotropic drugs than for the vast  
34 majority of herbal preparations. The Covid-19 pandemic, for which to date no cure or vaccine exist,  
35 thus provides a more than timely context in terms of findings related to epidemiology and  
36 pathogenesis, in which to discuss relevant evidence from preclinical and clinical studies of herbal  
37 preparations, specifically adaptogens.38 Pathogenesis and progression of Covid-19 is multistep process [1,2], which requires an  
39 appropriate therapeutic strategy starting with initiation of overall defense response to the pathogen  
40 [3–6]. Included in this process are numerous extra- and intracellular interactions between  
41 components of host defense and life cycle regulation systems on all levels of regulation – genomic,  
42 transcriptomic, proteomic, metabolomic and macrobiotic [7]. Consequently, effective prevention or  
43 treatment of Covid-19 requires pharmaceutical intervention affecting the innate and adaptive  
44 immune system, phases I–III metabolizing enzymes of detoxifying and repair systems, as well as the  
45 virus' life cycle and proliferation (Figure 1). This can be achieved with herbal preparations that have

polyvalent and pleiotropic actions on host defense systems. For instance, it was found that more than half of SARS-CoV-2 infected subjects were asymptomatic at the time of testing [8], which points at the ability of the innate immune system to curb progression of Covid-19 at an early stage of invasion of the pathogen. Both activation and inhibition of various components of innate immune system [4,5,9] by numerous natural compounds of plant kingdom is well documented in many publications. Specifically, complex mixtures of natural compounds (or herbal extracts) synergistically targeting multiple elements of molecular networks involved in inflammatory defense response are presumably more effective than mono-drugs, that target only one receptor [10,11].

Adaptogens are natural stress-protective compounds or plant extracts that increase adaptability, resilience, and survival of organisms [12]. Adaptogens increase “the state of non-specific resistance” of organisms [13] to harm [14,15], including bacterial and viral pathogens. Nonspecific defense responses to pathogens depend on the body's ability to recognize conserved features of pathogens by the evolutionarily ancient innate immune system, a group of proteins and phagocytic cells, which become activated during the critical first hours and days of infection to destroy invaders [9]. The basic mechanisms of innate immune responses that regulate innate defense, e.g. pattern recognition by toll-like receptors, defensins, etc., are conserved and apparently involved in innate immunity in all multicellular organisms. Their conservation during evolution shows the importance of innate responses in the defense against microbial and viral pathogens [9].

More than 100 medicinal plants have been reported to have adaptogenic activity, however only few, i.e.,

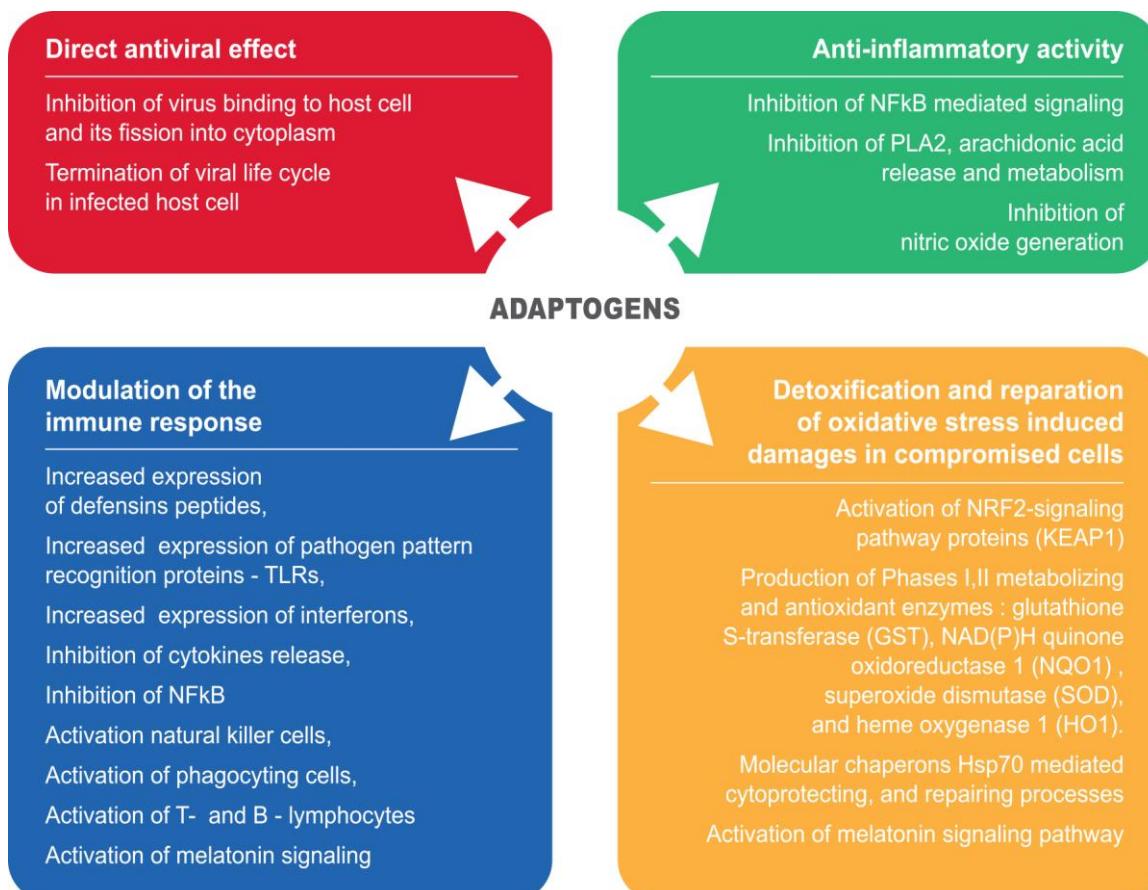
- *Andrographis paniculata* (Burm. F.) Wall. ex. Nees, Acanthaceae (AP),
- *Eleutherococcus senticosus* (Rupr. & Maxim.) Maxim, Araliaceae (ES),
- *Glycyrrhiza* spp., Fabaceae (GS),
- *Panax* spp., Araliaceae (PS),
- *Rhodiola rosea* L., Crassulaceae (RR),
- *Schisandra chinensis* (Turcz.) Bail., Schisandraceae (SC), and
- *Withania somnifera* (L.) Dunal, Solanaceae (WS)

comply with the key criterium, that is to exhibit multitarget effects on the neuroendocrine-immune system by triggering adaptive stress responses. These include stimulation of cellular and organismal defense systems, activation of intracellular and extracellular adaptive signaling pathways, and expression of stress-activated proteins to change protection or repair capacity and increase non-specific resistance and adaptation to stress [11,12].

Anti-inflammatory, antiviral, antioxidant and other related activity of plants referred to as adaptogenic have been demonstrated in numerous preclinical studies. Tables 1–5 and Figure 1 show multiple molecular targets identified for adaptogenic plants extracts exhibiting:

- specific antiviral action preventing viruses binding to host cells, and on nonstructural (Nsps) and structural proteins involved in viral life cycle in infected host cells and replication of the virus,
- non-specific antiviral action by the effects on:
  - innate immunity including activation of defensins, the complement system, upregulation of expression of pathogen's pattern recognition receptors TLR proteins, interferons,
  - downregulation of expression of pro-inflammatory cytokines IL-1, IL2, IL-6, IL-8, TNF, activation of natural killer cells, mucous sentinel and phagocytizing cells (mast cells, dendritic cells, macrophages, neutrophils, eosinophils, basophils) and melatonin signaling pathways,
  - adaptive immunity including T Cells and MHC Proteins, B Cells and antibodies,
- anti-inflammatory activity by inhibition of:
  - release of arachidonic acid from membrane phospholipids following to conversion to into COX-2 and lipoxygenases mediated pro-inflammatory metabolites such as prostaglandins, thromboxane B2, leukotrienes, as well as platelet activating factor,
  - inducible NO synthase,
  - NFκB – mediated pro-inflammatory signaling pathways,

- 98 • detoxifying and cytoprotectant activity in oxidative stress induced injuries of compromised  
 99 cells and tissues:  
 100 ○ activation of NRF2-mediated oxidative stress response signaling pathway regulated  
 101 production of chaperons and stress response proteins, activity of Phase I and II  
 102 metabolizing enzymes, Phase III detoxifying proteins, proteasomal degradation proteins,  
 103 antioxidant proteins (superoxide dismutase (SOD), glutathione S-transferase (GST),  
 104 NAD(P)H quinone oxidoreductase 1 (NQO1) and heme oxygenase 1 (HO1),  
 105 ○ activation of expression and release of molecular chaperons Hsp70, which mediate  
 106 cytoprotectant and repair processes,  
 107 • activation of melatonin signaling pathways.  
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110 Figure 1 Schematic diagram of reported effects of adaptogenic plants elucidated in animal and cell  
 111 culture models: (i) modulatory the effects on immune response (blue block), (ii) anti-inflammatory  
 112 activity (green block), (iii) detoxification and repair of oxidative stress-induced damage in  
 113 compromised cells (brown block), and direct antiviral effect via infraction with viral docking or  
 114 replication (red block).

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116 Key elements of innate immunity stimulation are activation of first-line defense response IF- $\gamma$   
 117 and TLR followed by inhibition of NFκB and inflammation mediated by proinflammatory cytokines.  
 118 Adaptogens activate adaptive signaling pathways by upregulating gene expression-encoding  
 119 phosphatidylinositol 3-kinase (PI3K), protein kinase C (PKC), mitogen-activated protein kinases  
 120 (MAPKs) [11], which are upstream of transcription factors (Nrf2, HNF1, CCAAT, C/EBP $\beta$ , PXR),  
 121 FXR and peroxisome proliferator-activated receptors that promote the induction of phase II enzymes  
 122 and phase III transporters involved in metabolic detoxification process, clearance of breakdown  
 123 products [16] and overall defense response to pathogens. Therapeutically important features of  
 124 adaptogenic activity are beneficial effects on detoxification, and repair processes leading to recovery  
 and increased survival in virus-induced oxidative stress, key to which are the activation of

125 antioxidant NRf2-signalling pathway, production of detoxification enzymes, molecular chaperons  
126 Hsp70 and melatonin signaling pathway for regulation of homeostasis (Tables 3 and 4).

127 The search for anti SARS-CoV-2 therapeutics focuses on both structural and functional viral  
128 proteins. Sixteen nonstructural proteins (Nsps 1-16) are involved in RNA transcription, translation,  
129 protein synthesis, processing and modification, virus replication and infection of the host [17]. They  
130 are considered virus-specific molecular targets for pharmacotherapeutic intervention [17-23] for a  
131 number of reasons:

- 132 • Nsp1 (N-terminal gene 1 protein) suppresses host innate immune response inhibiting type-I  
133 interferon production and induces host mRNA degradation [24],
- 134 • Nsp3 (papain-like protease, PLpro) is essential for virus replication and to antagonize the host's  
135 innate immunity,
- 136 • Nsp5 (3-chimotrypsin-like protease, 3CLpro) mediates viral replication, transcription and the  
137 maturation of Nsps, which is essential in the life cycle of the virus,
- 138 • Nsp12 (PNA dependent PNA polymerase enzyme, RdRp) is conserved. vital enzyme of  
139 coronavirus replication/transcription complex,
- 140 • Nsp13 (helicase enzyme) is a multi-functional protein necessary for the replication of  
141 coronavirus.

142 Nine structural and accessory proteins, including Spike (S) and envelope (E) glycoproteins,  
143 membrane (M) and nucleocapsid (N) proteins, are probably most important in the search for  
144 inhibitors of their expression or functions [19]. The primary function of structural S proteins is to  
145 bind the S1 subunit with the host cell surface receptor, angiotensin-converting enzyme 2 (ACE2) and  
146 the S2 subunit with serine protease TMPRSS2, which mediates virus-cell and cell-cell membrane  
147 fusion. ACE2 has been identified as a functional receptor playing a crucial role in SARS  
148 coronavirus-induced lung injury [25,26]. ACE2 is expressed in all tissues particularly in pulmonary  
149 and heart tissues where it is significantly increased in hypertensive patients continuously using ACE  
150 inhibitors and angiotensin 1 receptor (AT1R) blockers. This explains the higher death rate in elderly  
151 individuals with comorbidities such as hypertension, diabetes, and heart disease [27-30].

## 152 2. Results

### 153 2.1. Pre-clinical Investigations

154 We have organized results of pre-clinical investigations into 5 groups: direct viricidal effects,  
155 specific antiviral actions, non-specific antiviral actions, anti-inflammatory effects and repair of  
156 oxidative stress-induced injuries in compromised cells and tissues, and other effects of potential  
157 relevance in the progression of viral infections. Outcomes are presented in Table 1-5 below.  
158

**Table 1.** Direct viricidal effects

Virus	AP andrographolides	ES eleutherosides	GS glycyrrhizin, glycyrrhizic acid	PS ginsenosides	RR salidroside, rosavin, ellagic and gallic acids	SC schisandrins, anwulignan	WS withanolides
SARS-related coronavirus			[31-33]				
Ebola virus (EBOV) and Marburg virus (MARV)					[34]		
human rhinovirus (HRV)		[35]					
respiratory syncytial virus (RSV)		[35]	[36]	[37]			
H1N1 influenza A virus	[38-40]	[35,41-44]	[40,45]	[46-53]	[54]		
H2N2 influenza virus			[55]				
H3N2 influenza virus				[50,53,56]			
H5N1 avian influenza virus	[57]		[58]	[50,56]	[34]		
H7N9 influenza				[50]			
H9N2 avian influenza virus					[54]		
Chikungunya virus	[59-61]						
Dengue virus	[59,60]				[62]		
Coxsackievirus B3					[63]		[64]

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**Table 2.** Specific antiviral actions - effects on SARS virus docking and replication

Target/mediator	AP andrographolides	ES eleutherosides	GS glycyrrhizin, glycyrrhizic acid	PS ginsenosides	RR salidroside, rosavin, ellagic and gallic acids	SC schisandrins, anwulignan	WS withanolides
<b>Effects on viral life cycle in infected host cells – targets preventing the virus RNA synthesis and replication</b>							
Nsp5: 3-chymotrypsin-like protease (3Clpro) – Mpro, main protease of SARS-CoV-2	[22,65]	[19,66]		[19,66]	[19,66]	[19,66]	
Nsp3: papain like protease (Plpro)	[22]	[19,66]		[19,66]	[19,66]	[19,66]	
Nsp12: RNA-dependent RNA polymerase (RdRp)	[22]						
Nsp1: the most N-terminal gene 1 protein	[22]						
<b>Targets inhibiting virus structural proteins</b>							
S1: Spike glycoprotein binding SARS-CoV2 to human angiotensin converting enzyme 2 (ACE2) of host cells			[67]				
S2: Spike glycoprotein receptor to type-II transmembrane serine protease enzymes (TMPRSS2) of host cells	[22]		[67]				
Blockage of binding viral (Ebola/Marburg) surface glycoproteins to host cells					[34]		

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**Table 3.** Non-specific antiviral actions - effects on innate and adaptive immunity

Target/mediator	AP andrographolides	ES eleutherosides	GS glycyrrhizin, glycyrrhizic acid	PS ginsenosides	RR salidroside, rosavin, ellagic and gallic acids	SC schisandrins, anwulignan	WS withanolides	melatonin
<b>Innate Immunity</b>								
Defensins, human β-defensin-2	[68,69]							
Pathogen's pattern recognition receptors TLR proteins	[70,71]	[11,72]	[67,73-77]	[78-83]	[11,84,85]	[11,86-88]	[11,89]	[90-94]
Interferons	[95]	[96-102]	[33,55]	[37,46,48,49,56]	[62,63]	[86]	[103-106]	[90]
Natural Killer Cells		[97,98]	[58]	[46]				
Interleukins: IL-6, IL-1β, IL-10, TNF etc.	[95,107,108]	[108,109]	[74,77,110]	[37,48,49,52,111,112]	[62,63,84]	[86,110,113]	[103-106,114]	[90,92,94,115]
Melatonin signaling pathways		[11]			[11]	[11]	[11]	[11]
<b>Adaptive immunity</b>								
T Cells and MHC Proteins		[97-99,116]	[33,55,58]			[86]	[103-106,117]	
B Cells and Antibodies	[95]	[72]	[55]				[104-106]	

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**Table 4.** Anti-inflammatory effects, repair of oxidative stress-induced injuries in compromised cells and tissues

Target / mediator	AP andrographolides	ES eleutherosides	GS glycyrrhizin, glycyrrhizic acid	PS ginsenosides	RR salidroside, rosavin, ellagic and gallic acids	SC schisandrin, anwulignan	WS withanolides	melatonin
Arachidonic acid release, inhibition of phospholipase 2	[118]		[119-121]	[122-125]	[126]	[127]	[128-130]	
COX-2 mediated signaling	[71,131]	[132]	[74,119,121]	[111,123,125]	[132]		[132]	[132]
Lipoxygenases mediated signaling of arachidonic acid pro- and anti-inflammatory metabolites leukotrienes, lipoxins, resolvins, etc.		[132]	[119,121]		[132]	[127]	[130,132]	[132]
PAF: platelet activating factor	[133,134]			[135,136]		[137,138]	[89]	
Nitric oxide mediated inflammation: inducible NO	[108,139]	[108]	[32,74,108]	[52]	[140]	[88,108,140]		[90,115]



Target / mediator	AP andrographolides	ES eleutherosides	GS glycyrrhizin, glycyrrhizic acid	PS ginsenosides	RR salidroside, rosavin, ellagic and gallic acids	SC schisandrin, anwulignan	WS withanolides	melatonin
<b>-expression</b>								
Antioxidant proteins (SOD, GST, NQO1 and HO1), lipid peroxidation	[176,178,182]	[183]		[111,151,185,187,198]	[158,160,162,163,188,190]	[86,113,165,191-193]	[103,194]	[93,115,197]
Molecular chaperons mediated cytoprotectant and repair processes Heat shock proteins Hsp72		[199,200]			[140,199-201]	[140,199-201]		
Melatonin signaling Retinoic-acid-r		[11]		[11]	[11]	[11]	[11,202,203]	

Target / mediator	AP andrographolides	ES eleutherosides	GS glycyrrhizin, glycyrrhizic acid	PS ginsenosides	RR salidroside, rosavin, ellagic and gallic acids	SC schisandrins, anwulignan	WS withanolides	melatonin
receptor (RAR)-related orphan nuclear receptor alpha (ROR $\alpha$ )								

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**Table 5** Other effects of potential relevance in the progression of viral infections

Activity	AP	ES	GS	PS	RR	SC	WS
<b>adaptogenic</b>	[204,205]	[206]	[207]	[208]	[209]	[206,210-213]	[214-216]
<b>antidiabetic</b>	[217-220]	[221]			[212]		[214-216]
<b>antioxidant</b>	[222-224]	[221]	[207]	[208]	[209]	[210,211,213]	[214-216]
<b>immunomodulatory</b>	[225]	[221]	[207]	[208]		[210,211,213]	[214-216]
<b>metabolism</b>			[207]	[208]	[209]	[212]	
<b>gastroprotective</b>	[226,227]		[207]			[212]	
<b>hepatoprotective</b>	[228-231]	[221]	[207]	[208]		[210,211,213]	[214-216]
<b>cardioprotective</b>	[232,233]	[221]		[208]	[209]	[210,211,213]	[214-216]
<b>antiproliferative</b>	[234-236]	[221]	[207]	[208]	[209]	[210,211,213]	
<b>neuroprotective</b>	[237]	[221]		[208]	[209]	[210,211,213]	[214-216]
<b>anti-stress / anti-fatigue</b>	[238]	[221]		[208]	[209]	[210,211,213]	[214-216]
<b>antidepressant</b>	[239]	[221]	[207]	[208]	[240,241]	[212]	[214-216]

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173 2.2. *Clinical Investigations*

174 2.2.1. *Andrographis paniculata*

175 Results of 33 RCTs (7,175 patients) with AP (as a monotherapy and as fixed combinations with  
176 other herbs) clinical studies were systematically reviewed. The meta-analysis shows that AP  
177 improved cough ( $n = 596$ , standardized mean difference SMD: -0.39, 95% confidence interval CI  
178 [-0.67, -0.10]) and sore throat. It has a statistically significant effect in improving overall symptoms of  
179 acute respiratory tract infections (ARTIs) when compared to placebo, usual care, and other herbal  
180 therapies. Evidence also suggested that AP (alone or plus usual care) shortened the duration of  
181 cough, sore throat and sick leave/time to resolution when compared versus usual care. No major  
182 adverse events (AEs) were reported, and minor AEs were mainly gastrointestinal [242].

183 Efficacy and safety of andrographolide-containing preparations was studied in patients with  
184 common cold in Scandinavia, South America, and India by Hancke et al. [243], Caceres et al. [244],  
185 Melchior et al. [245], and Saxena et al. [246]. These four randomized double-blind placebo-controlled  
186 trials cover in total 539 patients suffering from symptoms of common cold. Hancke et al. [243] found  
187 the efficacy and safety of AP tablets (1200 mg/day) to be superior to placebo. The intensity of  
188 symptoms and signs of rhinitis, sinus pain and headache were significantly lowered compared to  
189 placebo. No adverse events were reported. Melchior et al. [245] performed a randomized,  
190 double-blind, placebo-controlled, mono-center, parallel group trial with AP (1020 mg/kg) in 50  
191 patients suffering from common cold over 5 days. The sick leave days were significantly reduced  
192 after the second visit in the verum group compared to placebo, the number of patients feeling  
193 recovered was increased and the number of patients experienced with easier disease was detected  
194 also to be better than placebo. Caceres et al. [244] also tested the treatment of common cold with AP  
195 (1200 mg/day) in a randomized, double-blind, placebo-controlled, mono-center, parallel group trial  
196 with 158 participants over 5 days. The intensity of nearly all symptoms decreased significantly in the  
197 verum group. The active treatment was clearly superior to placebo, reducing the prevalence and  
198 intensity of symptoms without observed or reported adverse effects and thus revealed a positive  
199 benefit/risk ratio. Saxena et al. [246] tested an AP extract (200 mg/day, 60 mg of andrographolide for  
200 5 days) in a randomized, double blind placebo controlled clinical study involving 223 patients with  
201 uncomplicated upper respiratory tract infections. Only in the verum group all symptoms improved  
202 significantly ( $p \leq 0.05$ ) except earache. The overall efficacy of KalmCold™ over placebo was 2.1 times  
203 higher ( $p \leq 0.05$ ) than placebo.

204 2.2.2. *Eleutherococcus senticosus*

205 Several epidemiological studies carried out in the Soviet Union during the 1970s demonstrate  
206 that ES extract, given prophylactically, can reduce human mortality rates during the influenza  
207 epidemics as well as typical complications of an influenza infection, like pneumonia, bronchitis, and  
208 otitis [221,247-249].

209 In 1986, Shadrin et al. [250] reported the results of prophylactic treatment of 1376 patients with  
210 acute respiratory illnesses during the influenza virus epidemic. Typical complications of an  
211 influenza infection, like pneumonia, bronchitis, genyantritis and otitis were determined in this  
212 two-parallel group, placebo controlled, double-blind study with a 3-months long follow-up period.  
213 Significantly lower frequency of complications caused by infections was observed in the ES group  
214 compared to placebo group ( $p < 0.05$ ) indicating on milder infection progression. Overall morbidity  
215 rate was also consistently lower in the ES group than in the placebo group, but the differences were  
216 not statistically significant. Two consecutive open label clinical studies of ES extract were carried out  
217 in 764 children with respiratory viral infections. The morbidity rate decreased 3.6 times in those 396  
218 children treated with ES liquid extract for a month. After 2 years a 2-3-fold lower of morbidity was  
219 recorded in those receiving ES liquid extract for a month compared to the control group of 252

220 children [251]. In a similar study with children at pre-school age, prophylactic administration of ES  
221 extract decreased the morbidity rate by 30-40% [252].

222 2.2.3. *Glycyrrhiza spp.*

223 Clinical trials conducted with GS have focused functional dyspepsia, aphthous stomatitis,  
224 gastric and duodenal ulcers, postoperative sore throat, hyperlipidemia and antiatherogenic effects  
225 [207]. Since the publication of EMA's assessment report, numerous further clinical trials have been  
226 conducted. A recent review by Kwon et al. [253] summarizes study results related to liver,  
227 gastrointestinal, oral, skin and metabolic disorders which confirm licorice's anti-inflammatory,  
228 antioxidant, and immunomodulatory properties. However, the authors caution against chronic use,  
229 especially in patients with cardiovascular comorbidities due to the mineralocorticoid-like effect of  
230 glycyrrhetic acid and GS-induced pseudoaldosteronism.

231 2.2.4. *Panax spp.*

232 PS has been extensively studied in clinical investigations of multiple adaptogenic indications [208].  
233 Scaglione et al. [254] conducted a clinical trial of efficacy and safety of a PS extract for potentiating  
234 vaccination against the common cold and/or influenza syndrome in 227 volunteers and reported a  
235 significantly lower frequency of influenza or common cold in the treatment group. The same group  
236 [255] reported significantly increased bacterial clearance in patients with chronic bronchitis who  
237 received PS extract concomitantly with antibiotic treatment. Lee et al. [256] conducted a clinical trial  
238 investigating the preventive activity of PS against acute respiratory illness (ARI) caused by viral  
239 infection in 100 volunteers and found ginseng to protect against contracting ARI, as well as decrease  
240 the duration and scores of ARI symptoms. Iqbal and Rhee [112] reviewed the evidence for  
241 antimicrobial activity of PS, specifically against pathogens causing respiratory infections from  
242 animal and in vitro models, as well as 15 clinical trials. Summarily, included investigations have  
243 shown PS to exert immunomodulatory activity which reduces the level of proinflammatory  
244 cytokines and oxidative stress, which, in turn, reduce severity, duration, and frequency of symptoms  
245 and show potential for preventing development of respiratory infections.

246 2.2.5. *Rhodiola rosea*

247 Multiple clinical trials on the effect of RR as a mono-product and in combinations on physical  
248 performance and stress-related fatigue have been conducted [209], affirming the traditional use as an  
249 adaptogen. Chuang et al. [257] studied the effect of RR as an adjunct treatment in patients with  
250 Chronic Obstructive Pulmonary Disease (COPD) and found it to significantly improve tidal  
251 breathing and ventilation efficiency. Zhang et al. [258] evaluated the effects of RR on the preventive  
252 treatment of acute lung injury (ALI) caused by post-traumatic/inflammatory and  
253 thoracic-cardiovascular operations. They observed a significant decrease in Acute Respiratory  
254 Distress Syndrome complications and concluded that early use of RR may protect against risk  
255 factors of ALI/ARDS. This recommendation was later confirmed by Lu et al. [259] in a similar trial.  
256 Ahmed et al. [260] studied the antiviral properties of RR in marathon runners. RR induced antiviral  
257 activity early and delayed exercise-dependent increase in virus replication. RR's role in the  
258 treatment of ischemic heart disease was investigated by Yu et al. [261] in a meta-analysis of 13  
259 clinical trials and found an overall positive effect on both improvement of symptoms and ECG.

260 2.2.6. *Schisandra chinensis*

261 Pre-clinical findings have been corroborated in numerous clinical investigations, specifically  
262 SC's effect in viral respiratory tract infections [212,250,262-264], by targeting viral RNA synthesis  
263 and replication and stimulating innate and adaptive immunity, among others.

264 2.2.7. *Withania somnifera*

265 Tandon & Yadav [265] reviewed 30 human clinical trials, establishing reasonable safety and  
266 efficacy in subclinical hypothyroidism; chronic stress, insomnia and anxiety; cognitive  
267 improvement; fertility; and as a chemotherapy adjuvant, among others. Adaptogenic effects were  
268 studied in 3 clinical trials, one of which [266] reported significantly increased oxygen consumption,  
269 maximum velocity, and average absolute and relative power under exercise conditions with WS  
270 supplementation, an outcome that may be relevant in convalescence from respiratory disease.

271 *2.2.8. Combination Products*

272 The results of five randomized, double blind placebo controlled studies with a fixed  
273 combination of AP and ES (Kan Jang, KJ) conducted between 1997 and 2004 in Scandinavia, South  
274 America, Russia and Armenia suggest that it relieves symptoms of uncomplicated respiratory tract  
275 infections caused by common cold [267-271] without causing any safety concerns. Caceres et al. [267]  
276 investigated the prevention of common cold in a randomized, double-blind, placebo-controlled,  
277 monocenter, parallel group trial with 107 participants over 3 months. KJ showed a significantly  
278 reduced incidence rate of cold compared to placebo after three months. Melchior et al. [270]  
279 investigated KJ in two randomized, double-blind, placebo-controlled, monocenter, parallel group,  
280 pilot and Phase III clinical trials with correspondingly 46 and 179 participants for a maximum of 8  
281 days (pilot study) and followed by a phase III study for 3 days in the treatment of uncomplicated  
282 upper respiratory tract infections. In the pilot study the active therapy by Kan Jang was superior to  
283 placebo in reduction of the total scores for all symptoms after 5 days. In the phase III study, the  
284 symptom score significantly was more improved in the treatment group compared to placebo.  
285 Gabrielian et al. [268] investigated KJ in a double-blind, placebo-controlled, multicenter, parallel  
286 group trial in 185 participants with acute upper respiratory tract infections including sinusitis over 5  
287 days. KJ was found to be a valuable therapeutic option and to have a positive benefit/risk ratio for  
288 the treatment of acute upper respiratory tract infections and for relief of inflammatory symptoms of  
289 sinusitis. Kulichenko et al. [269] investigated KJ in two randomized, comparator controlled, open  
290 multicenter, parallel group trials with 540 participants over 3 to 5 days in a pilot study, followed by a  
291 phase III study for 5 days in the treatment of uncomplicated upper respiratory infections. KJ was  
292 found to be superior in alleviation of symptoms such as headache, myalgia and conjunctivitis.  
293 Spasov et al. [271] investigated KJ in a randomized controlled three parallel group study in 130  
294 children with uncomplicated common cold over a period of 10 days. The amount of nasal secretion  
295 g/day and nasal congestion g/day and nasal congestion decreased significantly, and recovery time  
296 was significantly accelerated by KJ compared to Immunal and standard therapy. Kan Jang was well  
297 tolerated, and no side effects were observed in this group.

298 The post-marketing pharmacovigilance assessment of KJ shows a favorable benefit/risk ratio.  
299 Only 37 adverse event reports (mainly to allergic reactions) to the Swedish and Danish competent  
300 authorities were recorded in 23 years with over 20 million doses of KJ sold. This equates to one  
301 adverse event in about 100 000 patients, assuming an average drug uptake for 5 consecutive days  
302 [272].

303 *2.2.9. Activation of Melatonin Signaling Pathway*

304 Another promising tool to nonspecifically curb SARS-induced progression of inflammation,  
305 particularly in elderly subjects, with adaptogens is to utilize their capacity to activate the melatonin  
306 signaling pathway.

307 In a recent study of the molecular mechanisms of action of adaptogens it was found that they  
308 activate melatonin signaling pathway by acting through two G-protein-coupled membrane  
309 receptors MT1 and MT2 and upregulation of the ligand-specific nuclear receptor gene RORA [11]  
310 which encodes retinoic-acid-receptor (RAR)-related orphan nuclear receptor alpha (ROR $\alpha$ ) - a  
311 multifunctional transcription activating factor involved in many physiological processes, including  
312 regulation of immunity and metabolism, as well as playing important role in several pathologies,  
313 including inflammation, autoimmune diseases, asthma, osteoporosis, cancer, and metabolic  
314 syndrome [202,273-275].

Furthermore, the molecular mechanism of actions of melatonin [276,277] and adaptogens are alike in terms of their effects on expression of many genes including UCN, GNRH1, TLR9, GP1BA, PLXNA4, CHRM4, GPR19, VIPR2, RORA, STAT5A, ZFPM2, ZNF396, FLT1, MAPK10, MERTK, PRKCH, and TTN, suggesting that melatonin is an adaptation hormone [278,279], playing an important role in regulation of homeostasis [11]. This conclusion is in line with the common opinion about its physiological role and functions with pleiotropic actions in human, animals, and plants, which include controlling senescence and aging, regulating circadian rhythms, defense response to pathogens and bolstering the immune system [202,277,280-283].

The concentration of melatonin in human serum significantly increases at nighttime from 15-20 pg/ml to 30-180 pg/ml. However, with age, the level of night melatonin is not increasing higher than 30 pg/ml [284]. The decreased ability to produce melatonin with aging is probably associated with low-grade chronic inflammation and aging-related diseases. Melatonin has been shown to exert anti-inflammatory, antioxidant, and other beneficial actions in aging [202].

Melatonin has been found not only in humans, but also in bacteria, mammals, birds, amphibians, reptiles, fish, and plants. The richest plant sources of melatonin are *Coffea* spp. with 5,800–6,500 ng/g [285], *Tanacetum parthenium* (L.) Sch. Bip. with 2,450 ng/g, *Viola philipica* Cav. with 2,368 ng/g, *Uncaria rhynchophylla* (Miq.) Jacks. with 2,460 ng/g, *Hypericum perforatum* L. with 4,390 ng/g, *Morus alba* L. with 1,510 ng/g, to name just a few [283,286]. Some adaptogens also contain melatonin in amounts of 100-500 mg/g, e.g., AP with 511 ng/g, PS with 169 ng/g, SC with 86 ng/g, and GS with 112 ng/g,) [283,286], therefore effects of adaptogens on melatonin signaling pathways may in part be due to its presence. However, other adaptogenic plants, such as RR, ES, and WS, do not contain melatonin, but nonetheless significantly activate melatonin signaling pathway and upregulate RORA expression [11].

While the content of melatonin in the plants studied ranging from 0.01 to 6.500 ng/g dry weight [283,286-289], assuming a therapeutic daily dose in the range from 1 to 10 g of dry herbal substance, the consumed melatonin (< 0.065 mg) would be significantly lower than its therapeutic daily dose of 3-10 mg. However, these contents are in the range of daily amount (30 µg) of melatonin synthesized in adult humans. Since oral bioavailability of melatonin is about 3% in 27 years old healthy subjects [290], the consumption of 10 g of dry herbal substance containing 1,000 ng/g of melatonin can increase its concentration in blood to about 12 pg/ml, which is comparable to physiological concentrations of endogenous melatonin in the blood, particularly in elderly people 60-700 years of age, from 15 pg/ml at daytime to 30 pg/ml at nighttime [284].

Recently, melatonin was prioritized as a potential SARS-CoV-2 repurposable drug using network pharmacology-based methodologies that quantify the interplay between the virus –host interactome and drug targets in the virus host protein interactions network [23,291]. This conclusion aligns with results of other studies summarized in several reviews [292-294]. For instance, melatonin was found to exhibit therapeutic potential in influenza A H1N1 virus infection, to elicit anti-inflammatory and immune modulatory effects - the induction of IL-10 by melatonin occurs via the upregulation of IL-27 in DC - and to exhibit a synergistic effect with an anti-viral drug [295]. In another study, mice intranasally inoculated with RSV resulted in oxidative stress changes by increasing NO, MDA and -OH levels, and decreasing GSH and SOD activities. Administration of melatonin significantly reversed all these effects. Furthermore, melatonin inhibited production of proinflammatory cytokines such as TNF-a in serum of RSV-infected mice. These results suggest that melatonin ameliorates RSV-induced lung inflammatory injury in mice via inhibition of oxidative stress and proinflammatory cytokine production and may indeed be considered a novel therapeutic agent in virus-induced pulmonary infection [296]. Melatonin was also found to exert direct viricidal effects against respiratory syncytial virus [296,297], Semliki Forest virus [298] and Venezuelan equine encephalomyelitis virus [299-301].

These effects may be partially associated with melatonin-induced upregulation of RORA encoding ROR $\alpha$  in the liver, thymus, brain, skeletal muscle, skin, lung, adipose tissue, and kidney. ROR $\alpha$  has an anti-inflammatory function in human macrophages. In RORA-deleted cells, a dramatic increase in basal expression of a subset of NF- $\kappa$ B regulated genes, including TNF, IL-1 $\beta$  and IL-6, at

367 both transcriptional and translational levels was observed [302]. The expression of ROR $\alpha$ 1 inhibits  
368 TNF $\alpha$ -induced IL-6, IL-8 and COX-2 expression in primary smooth-muscle cells [303] and plays an  
369 essential role in the development of type 2 innate lymphoid cells (ILC2) and in cytokine production  
370 in the ILC3 and Th17 cells [274,304–306]. While ROR $\alpha$  is a known inhibitor of NF- $\kappa$ B  
371 proinflammatory signaling, it can also be utilized by highly pathogenic influenza H5N1 virus, which  
372 can inhibit inflammatory response in monocytes via activation of ROR $\alpha$  and therefore prevent an  
373 effective inflammatory defense response of monocytes [307].

### 374 3. Discussion

375 From the data presented here, it can be concluded that adaptogens can play a potentially  
376 important role at all stages of viral infection. Adopting a recently proposed phased  
377 immuno-physiological approach to viral infection [308], adaptogens exerting multitarget effects on  
378 the neuroendocrine-immune system by triggering adaptive stress responses have a place in  
379 prevention, infection, escalating inflammation and recovery (Figure 2). They provide baseline  
380 support through their immuno-modulatory, immuno-stimulatory, and antioxidant effect through all  
381 4 phases, combat infection through their specific and non-specific antiviral properties, alleviate  
382 escalating inflammation through their anti-inflammatory effects, as well as their capacity to repair  
383 oxidative stress-induced injuries in compromised cells and tissues, and address secondary disease  
384 states and comorbidities through various, infection-related activities.

385

386 Another possible benefit of adaptogens in Covid-19 is their effect during convalescence of  
387 patients. This is based on the results of an RCT with Chisan/ADAPT-232, a fixed combination of ES  
388 with RR and SC in pneumonia [309]. Adjuvant therapy with ADAPT-232 had a positive effect on the  
389 recovery of patients by decreasing the duration of the acute phase of the illness, by increasing mental  
390 performance of patients in the rehabilitation period, and by improving their quality of life.

### 391 4. Materials and Methods

392 Where available, assessment reports published by the European Medicines Agency's (EMA)  
393 Herbal Medicinal Products Committee (HMPC) were consulted to provide the summaries below. To  
394 cover the time elapsed since publication of these reports and in all other cases, database searches in  
395 PubMed, Scopus and Google Scholar were performed. Keywords included scientific and common  
396 plant names, in combination with “antiviral”, “adaptogen”, “respiratory”, “human”, “clinical trial”.  
397 Relevant primary literature referenced in reviews was retrieved manually. Independent searches  
398 were performed regarding relevant information on SARS-CoV-2 and Covid-19, as well as the  
399 evidence for therapeutic relevance of phytomedicines in Covid-19.  
400

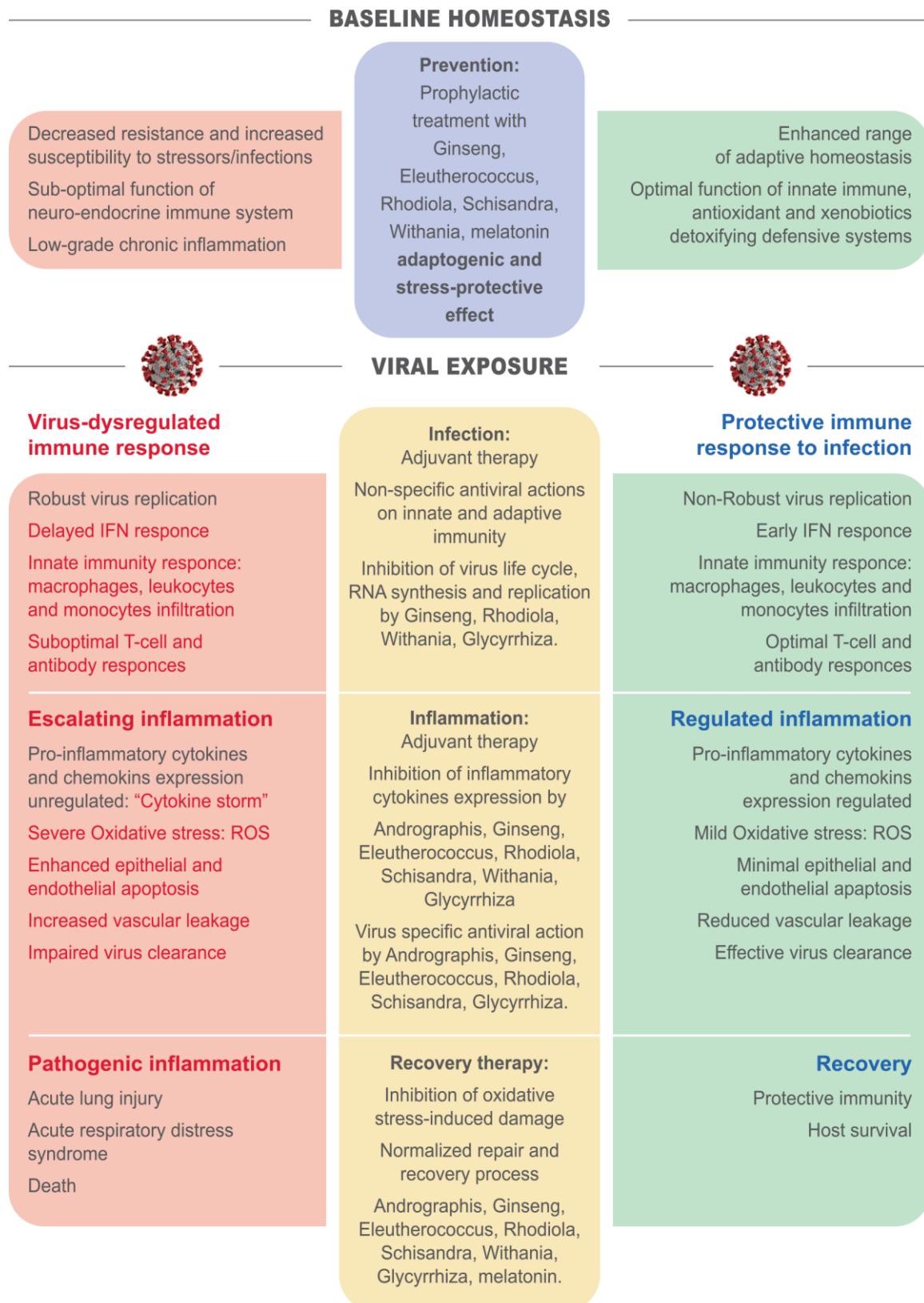
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406



407

408      Figure 2 Schematic diagram of various phases of immune and inflammatory responses to  
 409      SARS-CoV-2 infection and stages of COVID-19 progression with and without considering potential  
 410      effects of adaptogenic plants on prevention, infection, inflammation, and recovery phases of viral  
 411      infection.

412

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